

PATENT  
Attorney Docket No. 31180.830018.000  
Express Mail Label No. EL222666697US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

BRIDLE AND SKRYPISKI-MANTELE

Serial No. 09/937,116

Filed: September 20, 2001

For: PROCESS AND APPARATUS FOR THE  
CONVERSION OF CARBONACEOUS  
MATERIALS

Examiner:

Art Unit:

CERTIFICATE OF MAILING BY EXPRESS MAIL

Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the enclosed:


1. Transmittal of Missing Requirements;
2. Copy of Notification of Missing Requirements;
3. Signed Combined Declaration and Power of Attorney for Patent Application;
4. Check for \$65.00;
5. Fee Transmittal Sheet for FY 2003;
6. Certificate of Mailing by Express Mail; and
7. Return Card;

relating to the above application, were deposited as "Express Mail," Mailing Label No. EL222666697US with the United States Postal Service, addressed to BOX PCT, Commissioner of Patents, Washington, D.C. 20231, on this March 7, 2003.

March 7, 2003

  
\_\_\_\_\_  
Mailer

March 7, 2003

  
\_\_\_\_\_  
Brian P. Kinnear, Reg. No. 43,717

HOLLAND & HART LLP

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Examiner:

Art Unit:


TRANSMITTAL OF MISSING PARTS

Commissioner of Patents  
Washington, D.C. 20231

Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office, mailed February 25, 2003, the period for which to respond extends until April 25, 2003, the applicant submits herewith a signed Combined Declaration and Power of Attorney for Patent Application. Charge any fee deficiency associated with this communication to Deposit Account No. 08-2623.

March 7, 2003

  
\_\_\_\_\_  
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">FOR FY 2003</h2> <p style="margin: 0; font-size: small;">Patent fees are subject to annual revision</p>		<b>Complete if Known</b>	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 C.F.R. 1.27		Application Number	09/937,116
TOTAL AMOUNT OF PAYMENT		Filing Date	March 17, 2000
(\$ 65.00)		First Named Inventor	Bridle and Skrypski-Mantele
		Examiner Name	not yet assigned
		Group Art Unit	not yet assigned
		Attorney Docket No.	31180.830018.000

<h3 style="text-align: center; margin: 0;">METHOD OF PAYMENT</h3> <p> <input checked="" type="checkbox"/> Check            <input type="checkbox"/> Credit card            <input type="checkbox"/> Money Order            <input type="checkbox"/> None       </p> <p> <input checked="" type="checkbox"/> Deposit Account       </p> <p>         Deposit Account Number: <span style="border: 1px solid black; padding: 2px 20px;">082623</span> </p> <p>         Deposit Account Name: <span style="border: 1px solid black; padding: 2px 40px;"></span> </p> <p>         The Commissioner is authorized to: (Check all that apply)       </p> <p> <input type="checkbox"/> Charge fee(s) indicated below            <input checked="" type="checkbox"/> Credit any overpayments       </p> <p> <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application       </p> <p> <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified account.       </p>	<h3 style="text-align: center; margin: 0;">FEE CALCULATION (continued)</h3> <h4 style="margin: 5px 0;">3. ADDITIONAL FEES</h4> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity</th> <th style="text-align: left;">Small Entity</th> <th></th> <th></th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>1051</td> <td>130</td> <td>2051</td> <td>65</td> </tr> <tr> <td colspan="2"></td> <td colspan="2">Surcharge - late filing fee or oath</td> </tr> <tr> <td colspan="2"></td> <td colspan="2" style="text-align: right;">65.00</td> </tr> <tr> <td>1052</td> <td>50</td> <td>2052</td> <td>25</td> </tr> <tr> <td colspan="2"></td> <td colspan="2">Surcharge - late provisional filing fee or cover sheet</td> </tr> <tr> <td>1053</td> <td>130</td> <td>1053</td> <td>130</td> </tr> <tr> <td colspan="2"></td> <td colspan="2">Non-English specification</td> </tr> <tr> <td>1812</td> <td>2,520</td> <td>1812</td> <td>2,520</td> </tr> <tr> <td colspan="2"></td> <td colspan="2">For filing a request for <i>ex parte</i> reexamination</td> </tr> <tr> <td>1804</td> <td>920*</td> <td>1804</td> <td>920*</td> </tr> <tr> <td colspan="2"></td> <td colspan="2">Requesting publication of SIR prior to Examiner action</td> </tr> <tr> <td>1805</td> <td>1,840*</td> <td>1805</td> <td>1,840*</td> </tr> <tr> <td colspan="2"></td> <td colspan="2">Requesting publication of SIR after Examiner action</td> </tr> <tr> <td>1251</td> <td>110</td> <td>2251</td> <td>55</td> </tr> <tr> <td colspan="2"></td> <td colspan="2">Extension for reply within first month</td> </tr> <tr> <td>1252</td> <td>410</td> <td>2252</td> <td>205</td> </tr> <tr> <td colspan="2"></td> <td colspan="2">Extension for reply within second month</td> </tr> <tr> <td>1253</td> <td>930</td> <td>2253</td> <td>465</td> </tr> <tr> <td colspan="2"></td> <td colspan="2">Extension for reply within third month</td> </tr> <tr> <td>1254</td> <td>1450</td> <td>2254</td> <td>725</td> </tr> <tr> <td colspan="2"></td> <td colspan="2">Extension for reply within fourth month</td> </tr> <tr> <td>1255</td> <td>1970</td> <td>2255</td> <td>985</td> </tr> <tr> <td colspan="2"></td> <td colspan="2">Extension for reply within fifth month</td> </tr> <tr> <td>1401</td> <td>320</td> <td>2401</td> <td>160</td> </tr> <tr> <td colspan="2"></td> <td colspan="2">Notice of Appeal</td> </tr> <tr> <td>1402</td> <td>320</td> <td>2402</td> <td>160</td> </tr> <tr> <td colspan="2"></td> <td colspan="2">Filing a brief in support of an appeal</td> </tr> <tr> <td>1403</td> <td>280</td> <td>2403</td> <td>140</td> </tr> <tr> <td colspan="2"></td> <td colspan="2">Request for oral hearing</td> </tr> <tr> <td>1451</td> <td>1,510</td> <td>1451</td> <td>1,510</td> </tr> <tr> <td colspan="2"></td> <td colspan="2">petition to institute a public use proceeding</td> </tr> <tr> <td>1452</td> <td>110</td> <td>2452</td> <td>55</td> </tr> <tr> <td colspan="2"></td> <td colspan="2">Petition to revive - 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late filing fee or oath				65.00		1052	50	2052	25			Surcharge - late provisional filing fee or cover sheet		1053	130	1053	130			Non-English specification		1812	2,520	1812	2,520			For filing a request for <i>ex parte</i> reexamination		1804	920*	1804	920*			Requesting publication of SIR prior to Examiner action		1805	1,840*	1805	1,840*			Requesting publication of SIR after Examiner action		1251	110	2251	55			Extension for reply within first month		1252	410	2252	205			Extension for reply within second month		1253	930	2253	465			Extension for reply within third month		1254	1450	2254	725			Extension for reply within fourth month		1255	1970	2255	985			Extension for reply within fifth month		1401	320	2401	160			Notice of Appeal		1402	320	2402	160			Filing a brief in support of an appeal		1403	280	2403	140			Request for oral hearing		1451	1,510	1451	1,510			petition to institute a public use proceeding		1452	110	2452	55			Petition to revive - unavoidable		1453	1300	2453	650			Petition to revive - unintentional		1501	1300	2501	650			Utility issue fee (or reissue)		1502	470	2502	235			Design issue fee		1503	630	2503	315			Plant issue fee		1460	130	1460	130			Petitions to the Commissioner		1807	50	1807	50			Processing fee under 37 CFR 1.17(q)		1806	180	1806	180			Submission of Information disclosure Stmt		8021	40	8021	40			Recording each patent assignment per property (times number of properties)		1809	750	2809	375			Filing a submission after final rejection (37 CFR § 1.129(a))		1810	750	2810	375			For each additional invention to be examined (37 CFR § 1.129(b))		1801	750	2801	375			Request for Continued Examination (RCE)		1802	900	1802	900			Request for expedited examination of a design application		Other fee (specify) _____				<b>SUBTOTAL (1)</b>		<b>SUBTOTAL (3)</b>		(\$ 0)		(\$65.00)	
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\*\*or number previously paid, if greater; For Reissues, see above

\*Reduced by Basic Filing Fee Paid

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	Briap Kinnear	Registration No. (Attorney/Agent)	43,717
Signature		Telephone	303-295-8170
		Date	March 7, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorize on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

 Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

U.S. APPLICATION NUMBER NO. 09/937,116	FIRST NAMED APPLICANT Trevor Redvers Bridle	ATTY. DOCKET NO. 31180.830018.000
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 Brian P. Kinnear  
 Holland & Hart  
 P.O. Box 8749  
 Denver, CO 80201

INTERNATIONAL APPLICATION NO. PCT/AU00/00206	
I.A. FILING DATE 03/17/2000	PRIORITY DATE

 CONFIRMATION NO. 4711  
 371 FORMALITIES LETTER


\*OC000000009554371\*

Date Mailed: 02/25/2003

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Request for Immediate Examination

# RECEIVED

MAR 03 2003

Holland &amp; Hart LLP

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions

of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

MAMIE P PERSON

Telephone: (703) 305-3737

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/937,116	PCT/AU00/00206	31180.830018.000

FORM PCT/DO/EO/905 (371 Formalities Notice)